



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Jeff M. Anderson et al.

Confirmation No.: #4
12-9-03

Application No.: 09/884,593

Examiner:

Filing Date: 06/18/01

Group Art Unit:

Title: RENDERING BROKER SERVICE AND METHOD

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COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT Technology Center 2600

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97 (c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a petition under 37 CFR 1.97(d)(2), and
() a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Jeff M. Anderson et al.

By Michael J. D'Aurelio

Michael J. D'Aurelio

Attorney/Agent for Applicant(s)

Reg. No. 40,977

Date: May 14, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: May 14, 2002

Typed Name: Michael J. D'Aurelio

Signature: Michael J. D'Aurelio

PATENT APPLICATION

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.

10010867-1

APPLICATION NO.

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Hewlett-Packard Company

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,283,887	02/01/94	Zachery	395	500
	1B	5,406,557	04/11/95	Baudoin	370	61
	1C	5,418,908	05/23/95	Keller et al.	395	200
	1D	5,781,901	07/14/98	Kuzma	707	10
	1E	5,838,252	11/17/98	Kikinis	340	825.44
	1F	5,844,969	12/01/98	Goldman et al.	379	93.24
	1G	5,903,723	05/11/99	Beck et al.	395	200.3
	1H	5,905,777	05/18/99	Foladare et al.	379	90.01
	1I	5,937,162	08/10/99	Funk et al.	395	200.36
	1J	5,958,006	09/28/99	Eggleston et al.	709	219
	1K	5,964,833	10/12/99	Kikinis	709	206

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	EP0950969A2	10/20/99	Siemens Information ...			X	
	1M	EP0855821A1	07/29/98	AT&T Corp.			X	
	1N	EP0772327A2	05/07/97	Sharp Kabushiki Kaisha			X	
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Jeff M. Anderson et al., United States Patent Application entitled "SYSTEM AND METHOD FOR MOBILE PRINTING," Serial Number 09/974,390; Filed on 10/10/01 (Related Application).
	1R	Jeff M. Anderson et al., United States Patent Application entitled "SYSTEM AND METHOD FOR REMOTE DOCUMENT RETRIEVAL," Serial Number 09/884,600; Filed on 06/18/01 (Related Application).
	1S	Lainye Reich et al., United States Patent Application entitled "SYSTEM AND METHOD FOR AUTOMATED RENDERING TO PRINT TO A FILE," Serial Number 09/884,249; Filed on 06/18/01 (Related Application).

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	2A	5,974,449	10/26/99	Chang et al.	709	206
	2B	5,978,837	11/02/99	Foladare et al.	709	207
	2C	5,995,597	11/30/99	Woltz et al.	379	93.24
	2D	6,023,700	02/08/00	Owens et al.	707	10
	2E	6,035,104	03/07/00	Zahariev	395	200.33
	2F	6,073,165	06/06/00	Narasimhan et al.	709	206
	2G	6,160,631	12/12/00	Okimoto et al.	358	1.15
	2H	6,182,059	01/30/01	Angotti et al.	706	45
	2I	6,219,694	04/17/01	Lazaridis et al.	709	206
	2J	6,256,666	07/03/01	Singhal	709	217
	2K	6,275,848	08/14/01	Arnold	709	206

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	David J. Miller et al., United States Patent Application entitled "SYSTEM AND METHOD FOR CONFIGURING A PRINTING SYSTEM," Serial Number 09/884,598; Filed on 06/18/01 (Related Application).
	2R	David Staas et al., United States Patent Application entitled "SYSTEM AND METHOD FOR MOBILE PRINTING," Serial Number 09/884,594; Filed on 06/18/01 (Related Application).
	2S	Jeff M. Anderson et al., United States Patent Application entitled "SYSTEM AND METHOD FOR WALK-UP PRINTING," Serial Number 09/884,318; Filed on 06/18/01 (Related Application).

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PATENT APPLICATION

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	3A	6,360,252	03/19/02	Rudy et al.	709	206
	3B	5,436,960	07/25/95	Campana, Jr. et al.	379	58
	3C					
	3D					
	3E					
	3F					
	3G					
	3H					
	3I					
	3J					
	3K					

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							YES	NO
	3L							
	3M							
	3N							
	3O							
	3P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

3Q	Dana E. Laursen et al., United States Patent Application entitled "DOCUMENT DELIVERY SYSTEM FOR AUTOMATICALLY PRINTING A DOCUMENT ON A PRINTING DEVICE," Serial Number 09/855,230; Filed on 05/14/01 (Related Application).
3R	Keith M. Tayloer et al., United States Patent Application entitled "LIMITED PRINTING OF ELECTRONICALLY TRANSMITTED INFORMATION," Serial Number 09/546,059; Filed on 04/10/00 (Related Application).
3S	Pieter J. van Zee et al., United States Patent Application entitled "VALIDATION AND AUDIT OF E-MEDIA DELIVERY," Serial Number 09/694,542; Filed on 10/23/00 (Related Application).

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	4I					
	4J					
	4K					

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							YES	NO
	4L							
	4M							
	4N							
	4O							
	4P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

4Q	Jon A. Brewster et al., United States Patent Application entitled "DOCUMENT DELIVERY SYSTEM FOR AUTOMATICALLY PRINTING A DOCUMENT ON A PRINTING DEVICE," Serial Number 09/325,040; Filed on 06/07/99 (Related Application).
4R	Aloke Gupta et al., United States Patent Application entitled "DOCUMENT DELIVERY SYSTEM FOR AUTOMATICALLY PRINTING A DOCUMENT ON A PRINTING DEVICE," Serial Number 09/495,013; Filed on 01/31/00 (Related Application).
4S	

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